



June 18, 2014

<NAME>  
<ADDRESS>  
<CITY AND STATE>

Dear <NAME>,

We are contacting you as we have learned of a data security incident that occurred in April 2014 that involved your former Goldman, Sachs & Co. account.

A system conversion in February 2014 to enable online viewing of historical statements on our client website inadvertently linked an account you previously maintained as part of your relationship with Goldman Sachs to another client relationship. As a result, your monthly statements for the months of December 1996 and December 1997 were made available on our client website. This condition was identified on May 21, 2014 and your statements were removed from viewing the same day. However, on June 4 we discovered that another client had accessed your statement on April 10, 2014. The information exposed to the other client included account number, mailing address (as of the respective statement months), account balance (as of the respective statement months), and tax identification number. No other statements or additional data was viewable.

We apologize for any inconvenience this incident may cause you, and we assure you that we take seriously our responsibility to safeguard the personal information of our current and former clients.

The following are the addresses and toll-free phone numbers for the three major consumer reporting agencies where you can obtain information about preventing identity theft, fraud alerts and security freezes.

Equifax Credit Information Services, Inc.  
P.O. Box 740241  
Atlanta, GA 30374  
1 (800) 685-1111  
[www.equifax.com](http://www.equifax.com)

Transunion Consumer Relations  
P.O. Box 2000  
Chester, PA 19022-2000  
1 (800) 680-7289  
[www.transunion.com](http://www.transunion.com)

Experian  
P.O. Box 2002.  
Allen, TX 75013  
1 (888) 397-3742  
[www.experian.com](http://www.experian.com)

In addition, below is the address, phone number and web site address for the Federal Trade Commission which is an additional resource where you can obtain information about preventing identity theft.

Federal Trade Commission  
600 Pennsylvania Ave, NW  
Washington, DC 20580  
1 (877) 282-4357  
[www.ftc.gov](http://www.ftc.gov)

If you require any further information or assistance, please contact <NAME> with Private Wealth Management Operations at <NUMBER> or toll-free (888) 350-2857.

Sincerely,

Goldman, Sachs & Co.



June 25, 2014

<NAME>  
<ADDRESS>  
<CITY AND STATE>

Dear <NAME>

As a follow-up to our letter of June 18, a copy of which is attached, we simply wish to advise you that, as with any incident of this type, it is prudent to remain vigilant for potential wrongdoing. In particular, we suggest that you monitor your credit reports with the three major credit reporting agencies listed on the attached letter.

Under Vermont law, you are entitled to a free copy of your credit report from those agencies every twelve months. You should call the credit reporting agency at the telephone number on the report if you find:

- Accounts you did not open.
- Inquiries from creditors that you did not initiate.
- Inaccurate personal information, such as home address and Social Security number.

We would like to stress that we are providing this letter merely as a precaution and that we have no reason to believe that your personal data has been misused in any way.

If you require any further information or assistance, please contact <NAME>I with Private Wealth Management Operations at <NUMBER> or toll-free (888) 350-2857.

Sincerely,

Goldman, Sachs & Co.