

AGREEMENTS AND STIPULATIONS

5. The parties wish to resolve this matter without administrative or judicial proceedings.

6. The parties agree that this Stipulation and Consent Order represents full and complete resolution of the alleged violations described in paragraph 4.

7. Mr. Mount agrees to pay an administrative penalty to DFR in the amount of \$1,000 no later than 30 days after the last date this Stipulation and Consent Order is signed.

8. In the event Mr. Mount does not pay the administrative penalty within the time period described in paragraph 8, the Commissioner may, on request from the Insurance Division of DFR, issue an order suspending or revoking Mr. Mount's resident producer license and imposing additional administrative penalties. DFR's decision not to exercise this option will not constitute a waiver of the right to exercise it at a later time.

9. This Stipulation and Consent Order will not restrain or limit DFR in responding to and addressing any complaint filed with DFR involving Mr. Mount, and DFR reserves the right to pursue restitution in connection with any complaint filed with DFR.

CONSENT

10. The Agreements and Stipulations above are incorporated by reference into this Consent.

11. Mr. Mount understands all terms and his obligations in this Stipulation and Consent Order.

12. Mr. Mount consents to the entry of this Stipulation and consent Order freely and voluntarily.

13. Mr. Mount knowingly and voluntarily waives any right he may have to administrative or judicial review of these matters resulting from entry or enforcement of this Stipulation and Consent Order.

Consented and Agreed to:

Date

 8/28/2014
William C. Mount

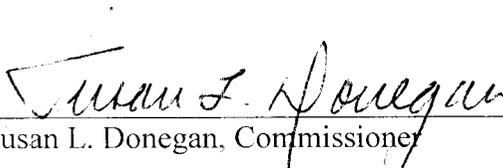
ORDER

14. The Agreements and Stipulations above are incorporated by reference into this Order.

15. Mr. Mount will comply with all Agreements and Stipulations above.

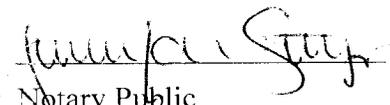
Department of Financial Regulation

Date

 9/8/14
Susan L. Donegan, Commissioner
* * *

STATE OF Vermont
COUNTY OF Addison

On this 28th day of August, 2014 William C Mount personally appeared before me and pursuant to a sworn oath subscribed to this Stipulation and Consent Order and represented that he/she has full authority to execute, deliver and legally bind **William C. Mount** to this Stipulation and Consent Order.

Before me: 
Notary Public
My commission expires: 2-16-15